

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L67190MH2005PLC153397

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAECM2876P

(ii) (a) Name of the company

MOTILAL OSWAL FINANCIAL S

(b) Registered office address

Motilal Oswal Tower, Rahimtullah Sayani  
Opposite Parel ST Depot, Prabhadevi, Mumbai-400025  
Mumbai  
Maharashtra  
400025

(c) \*e-mail ID of the company

corpsec@motilaloswal.com

(d) \*Telephone number with STD code

02271934200

(e) Website

www.motilalgroup.com

(iii) Date of Incorporation

18/05/2005

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	National Stock Exchange of India Limited	1,024
2	BSE Limited	1

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,  
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) \*Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

Yes  No

(f) Specify the reasons for not holding the same

AGM will be held on or before Due date

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities 2

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	62.14
2	K	Financial and insurance Service	K8	Other financial activities	37.86

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given 21

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	PASSIONATE INVESTMENT MA +	U67120MH1995PTC092014	Holding	58.38
2	MOTILAL OSWAL INVESTMENT +	U67190MH2006PLC160583	Subsidiary	100
3	MOPE INVESTMENT ADVISORS +	U67110MH2006PTC161128	Subsidiary	87.16
4	MOTILAL OSWAL COMMODITI +	U65990MH1991PTC060928	Subsidiary	100
5	MO ALTERNATE INVESTMENT / +	U65100MH2007PTC170211	Subsidiary	100
6	MOTILAL OSWAL FINVEST LIM +	U65100MH2006PLC165469	Subsidiary	100
7	MOTILAL OSWAL ASSET MANA +	U67120MH2008PLC188186	Subsidiary	100
8	MOTILAL OSWAL TRUSTEE CO +	U93090MH2008PLC188187	Subsidiary	100
9	MOTILAL OSWAL WEALTH LIM +	U67110MH2002PLC135075	Subsidiary	100
10	MOTILAL OSWAL SECURITIES II +	U65929MH2011PTC219141	Subsidiary	100
11	Motilal Oswal Capital Markets +		Subsidiary	100
12	Motilal Oswal Capital Markets +		Subsidiary	100
13	MOTILAL OSWAL HOME FINAN +	U65923MH2013PLC248741	Subsidiary	80.37
14	MOTILAL OSWAL REAL ESTATE +	U74999MH2013PTC248200	Subsidiary	87.16
15	MOTILAL OSWAL REAL ESTATE +	U67190MH2014PTC253958	Subsidiary	78.44
16	India Business Excellence Man +		Subsidiary	87.16
17	Motilal Oswal Asset Managem +		Subsidiary	100
18	MOTILAL OSWAL CAPITAL LIM +	U65100MH2016PLC285990	Subsidiary	100
19	MOTILAL OSWAL FINSEC IFSC I +	U65929GJ2018PLC102209	Subsidiary	100
20	GLIDE TECH INVESTMENT ADV +	U65990MH2019PTC333563	Subsidiary	100
21	TM INVESTMENT TECHNOLOGI +	U65990MH2020PTC342552	Subsidiary	63.83

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	925,000,000	146,620,374	146,620,374	146,620,374
Total amount of equity shares (in Rupees)	925,000,000	146,620,374	146,620,374	146,620,374

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares of Re.1 each				
Number of equity shares	925,000,000	146,620,374	146,620,374	146,620,374
Nominal value per share (in rupees)	1	1	1	1
Total amount of equity shares (in rupees)	925,000,000	146,620,374	146,620,374	146,620,374

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	5,650,000	0	0	0
Total amount of preference shares (in rupees)	565,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Preference Shares of Rs. 100 each				
Number of preference shares	5,650,000	0	0	0
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	565,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>				
At the beginning of the year	148,066,718	148,066,718	148,066,718	

<b>Increase during the year</b>	462,800	462,800	462,800	141,615,884
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	462,800	462,800	462,800	141,615,884
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
<b>Decrease during the year</b>	1,909,144	1,909,144	1,909,144	0
i. Buy-back of shares	1,909,144	1,909,144	1,909,144	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
	0			
<b>At the end of the year</b>	146,620,374	146,620,374	146,620,374	
<b>Preference shares</b>				
<b>At the beginning of the year</b>	0	0	0	
<b>Increase during the year</b>	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify	0	0	0	
	0			
<b>Decrease during the year</b>	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text"/>		
Date of registration of transfer (Date Month Year)	<input type="text"/>		
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor	<input type="text"/>		

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
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	Surname	middle name	first name
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Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
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	Surname	middle name	first name
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
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	Surname	middle name	first name
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Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
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	Surname	middle name	first name
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(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	3,000	1000000	3,000,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			4,723,700,000
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			13,146,000,000
Deposit			0
<b>Total</b>			<b>20,869,700,000</b>

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	1,500,000,000	3,000,000,000	1,500,000,000	3,000,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

21,614,700,000

(ii) Net worth of the Company

34,807,641,405

VI. (a) \*SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	15,783,437	10.76	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	85,593,163	58.38	0	
10.	Others Promoters Acting in Concern	2,228,459	1.52	0	
	<b>Total</b>	103,605,059	70.66	0	0

**Total number of shareholders (promoters)**

20

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	20,901,881	14.26	0	
	(ii) Non-resident Indian (NRI)	411,587	0.28	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	73,789	0.05	0	
4.	Banks	0	0	0	
5.	Financial institutions	13	0	0	
6.	Foreign institutional investors	13,844,160	9.44	0	
7.	Mutual funds	6,458,924	4.41	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	859,283	0.59	0	
10.	Others IEPF, HUF, ClearingMember	465,678	0.32	0	
	<b>Total</b>	43,015,315	29.35	0	0

**Total number of shareholders (other than promoters)**

39,739

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

39,759

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

1

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
As per List Attached				13,844,160	9.44

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	19	20
Members (other than promoters)	33,087	39,739
Debenture holders	1	8

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	1	1	1	1	5.59	5.18
<b>B. Non-Promoter</b>	2	4	2	6	1.46	5.25
(i) Non-Independent	2	0	2	1	1.46	5.25
(ii) Independent	0	4	0	5	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	<b>3</b>	<b>5</b>	<b>3</b>	<b>7</b>	<b>7.05</b>	<b>10.43</b>

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

12

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Mr. Raamdeo Agarawal	00024533	Director	7,592,365	
Mr. Motilal Oswal	00024503	Managing Director	8,191,072	
Mr. Navin Agarwal	00024561	Director	7,704,010	
Mr. Ajay Menon	00024589	Whole-time director	400,000	
Mr. Rajat Rajgarhia	07682114	Whole-time director	1,742,838	
Mr. C.N. Murthy	00057222	Director	0	
Mr. Pankaj Bhansali	03154793	Director	0	
Mrs. Divya Momaya	00365757	Director	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Mr. Chandrashekhar Ka	00003874	Director	0	
Mrs. Swanubhuti Jain	09006117	Director	0	
Mr. Shalibhadra Shah	AAHPS6599P	CFO	23,001	
Mr. Kailash Purohit	AQMPP4881G	Company Secretar	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

11

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Mr. C.N. Murthy	00057222	Director	01/07/2020	Appointment
Mr. Pankaj Bhansali	03154793	Director	01/07/2020	Appointment
Mrs. Divya Momaya	00365757	Director	01/07/2020	Appointment
Mr. Praveen Tripathi	03154381	Director	30/07/2020	Resignation
Mr. Vivek Paranjpe	03378566	Director	30/07/2020	Resignation
Ms. Sharda Agarwal	00022814	Director	30/07/2020	Resignation
Mr. Rajat Rajgarhia	07682114	Whole-time directo	31/07/2020	Appointment
Mr. Navin Agarwal	00024561	Managing Director	31/07/2020	Change in designation
Ms. Rekha Shah	07072417	Director	01/10/2020	Resignation
Mr. Chandrashekhar Ka	00003874	Director	16/09/2020	Appointment
Mrs. Swanubhuti Jain	09006117	Director	24/12/2020	Appointment

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	30/07/2020	33,977	48	76.3

**B. BOARD MEETINGS**

\*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	11/05/2020	8	8	100
2	31/07/2020	8	8	100
3	30/10/2020	9	9	100
4	24/12/2020	9	8	88.89
5	28/01/2021	10	10	100

**C. COMMITTEE MEETINGS**

Number of meetings held

15

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	11/05/2020	4	4	100
2	Audit Committee	31/07/2020	4	4	100
3	Audit Committee	30/10/2020	3	3	100
4	Audit Committee	24/12/2020	4	4	100
5	Audit Committee	28/01/2020	4	4	100
6	Nomination & Remuneration	11/05/2020	4	4	100
7	Nomination & Remuneration	31/07/2020	4	4	100
8	Nomination & Remuneration	30/10/2020	4	4	100
9	Nomination & Remuneration	24/12/2020	4	4	100
10	Nomination & Remuneration	28/01/2020	4	4	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	Mr. Raamdeo	5	5	100	8	8	100	
2	Mr. Motilal Oswal	5	5	100	4	4	100	
3	Mr. Navin Agarwal	5	5	100	3	3	100	
4	Mr. Ajay Menon	5	4	80	2	2	100	
5	Mr. Rajat Rajgarhia	3	3	100	0	0	0	
6	Mr. C.N. Murthy	4	4	100	9	9	100	
7	Mr. Pankaj Bhatnagar	4	4	100	8	8	100	
8	Mrs. Divya Mohan	4	4	100	8	8	100	
9	Mr. Chandrashekhar	3	3	100	3	3	100	
10	Mrs. Swanubh	1	1	100	0	0	0	

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Motilal Oswal	Managing Director	24,060,000	0	0	0	24,060,000
2	Mr. Navin Agarwal	Non-Executive Director	7,446,700	0	0	13,200	7,459,900
3	Mr. Ajay Menon	Whole-time Director	29,534,408	0	0	148,800	29,683,208
4	Mr. Rajat Rajgarhia	Whole-time Director	31,816,285	0	0	120,000	31,936,285
	Total		92,857,393	0	0	282,000	93,139,393

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Shalibhadra Sharma	Chief Financial Officer	12,415,104	0	0	0	12,415,104
2	Mr. Kailash Purohit	Company Secretary	2,707,392	0	0	0	2,707,392

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
	Total		15,122,496	0	0	0	15,122,496

Number of other directors whose remuneration details to be entered

6

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Raamdeo Agara	Non-Executive Dir	0	1,200,000	0	0	1,200,000
2	Mr. C.N. Murthy	Independent Dir	0	450,000	0	170,000	620,000
3	Mr. Pankaj Bhansal	Independent Dir	0	300,000	0	160,000	460,000
4	Mrs. Divya Momaya	Independent Dir	0	300,000	0	160,000	460,000
5	Mr. Chandrashekha	Independent Dir	0	300,000	0	100,000	400,000
6	Mrs. Swanubhuti Ja	Independent Dir	0	100,000	0	20,000	120,000
	Total		0	2,650,000	0	610,000	3,260,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

(In case of 'No', submit the details separately through the method specified in instruction kit)

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Umashankar K Hegde

Whether associate or fellow

Associate  Fellow

Certificate of practice number

11161

**I/We certify that:**

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...

18

dated

07/04/2006

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

DIN of the director

00024589

**To be digitally signed by**

Company Secretary

Company secretary in practice

Membership number

28740

Certificate of practice number



**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachement(s), if any

<b>Attach</b>
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**List of attachments**

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**Motilal Oswal Financial Services Limited**  
**Details in respect of shares held by or on behalf of the Filis/ FPIs.**

Sr. No	Name Of Fil/FPI	Address	No. of Share	% of share holding
1	AMERICAN CENTURY ETF TRUST - AVANTIS EMERGING MARKETS EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	657	0.0004
2	DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	276	0.0002
3	GOVERNMENT PENSION FUND GLOBAL	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	300000	0.2046
4	NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND- NON LENDING	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	38018	0.0259
5	THE BOEING COMPANY EMPLOYEE SAVINGS PLANS MASTER TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	11357	0.0077
6	THELEME INDIA MASTER FUND LIMITED	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	121150	0.0826
7	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	494126	0.337
8	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	17880	0.0122
9	VANGUARD TOTAL WORLD STOCK INDEX FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	14216	0.0097
10	WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	12488	0.0085
11	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	29969	0.0204
12	DFA INTERNATIONAL CORE EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI	1263	0.0009
13	DFA INTERNATIONAL VECTOR EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI	1705	0.0012
14	EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	12868	0.0088
15	EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	4909	0.0033
16	EMERGING MARKETS TARGETED VALUE PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	6018	0.0041
17	ISHARES EMSC MAURITIUS CO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	15565	0.0106
18	ISHARES IV PUBLIC LIMITED COMPANY- ISHARES MSCI EM IMI ESG SCREENED UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	11189	0.0076
19	JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	2377	0.0016
20	MASSACHUSETTS INSTITUTE OF TECHNOLOGY BASIC RETIREMENT PLAN	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	254881	0.1738
21	PUB EQUITIES EMERGING MARKETS 2	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	13046	0.0089
22	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	10562	0.0072
23	ACADIAN EMERGING MARKETS SMALL CAP EQUITY FUND LLC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	37257	0.0254
24	BUMA-UNIVERSAL-FONDS I	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	17045	0.0116
25	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	15576	0.0106
26	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND B	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	7745	0.0053
27	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	115170	0.0785
28	GKFF VENTURES	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	94000	0.0641
29	ISHARES CORE MSCI EM IMI UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	94331	0.0643
30	ISHARES CORE TOTAL INTERNATIONAL STOCK MAURITIUS CO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	69773	0.0476
31	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	1238314	0.8446
32	NORTHERN TRUST COMMON ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND-NON LENDING	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	957	0.0007
33	OPSEU PENSION PLAN TRUST FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	13881	0.0095
34	SEGALL BRYANT & HAMILL EMERGING MARKETS FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	3339	0.0023
35	238 PLAN ASSOCIATES LLC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	45036	0.0307
36	EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP INC. (DFAIDG)	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	210971	0.1439
37	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	71803	0.049
38	FRANKLIN TEMPLETON INVESTMENT FUNDS	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	2699390	1.8411
39	JF INDIA EQUITY ACTIVE OPEN MOTHER FUND	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	30000	0.0205
40	OP-INDIA FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	139270	0.095
41	PUB EQUITIES EMERGING MARKETS 1	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	23737	0.0162
42	STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON-LENDING SERIES FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	43724	0.0298
43	UTAH STATE RETIREMENT SYSTEMS	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	1687	0.0012
44	WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	3045	0.0021
45	ALASKA PERMANENT FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	5260	0.0036
46	AMERICAN CENTURY ETF TRUST-AVANTIS EMERGING MARKETS EQUITY ETF	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	1751	0.0012
47	BLACKROCK AQUILA EMERGING MARKETS FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	1851	0.0013
48	CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	4589	0.0031
49	DAUPHINE MAURITIUS INVESTMENT LIMITED	J.P.MORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	802	0.0005

50	J.P. MORGAN INDIAN INVESTMENT COMPANY (MAURITIUS) LIMITED	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	739034	0.504
51	MORGAN STANLEY ASIA (SINGAPORE) PTE. - ODI	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	48810	0.0333
52	SEGALL BRYANT & HAMILL EMERGING MARKETS FUND, LP	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI	7990	0.0054
53	SSGA SPDR ETFs EUROPE I PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	2494	0.0017
54	TIMF HOLDINGS	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	1544614	1.0535
55	ALQUITY SICAV - ALQUITY INDIAN SUBCONTINENT FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI	47668	0.0325
56	BNP PARIBAS ARBITRAGE - ODI	BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI	503512	0.3434
57	ISHARES CORE EMERGING MARKETS MAURITIUS CO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	346551	0.2364
58	ISHARES INDIA SC MAURITIUS COMPANY	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	66751	0.0455
59	ISHARES MSCI EM SMALL CAP UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	11172	0.0076
60	JPMORGAN INDIA FUND	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI	205000	0.1398
61	JPMORGAN INDIA SMALLER COMPANIES FUND	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	226496	0.1545
62	LEGAL AND GENERAL ASSURANCE (PENSION MANAGEMENT) LIMITED	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	2134	0.0015
63	NORDEA 1 SICAV - INDIAN EQUITY FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	157600	0.1075
64	SOCIETE GENERALE - ODI	SBI-SG GLOBAL SECURITIES SERVICES PL JEEVAN SEVA EXTENSION BUILDING GROUND FLOOR S V ROAD SANTACRUZ WEST MUMBAI	11934	0.0081
65	WISDOM TREE ISSUER PLC - WISDOM TREE EMERGING MARKETS SMALLCAP DIVIDEND UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	234	0.0002
66	THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	173701	0.1185
67	VANGUARD FTSE ALL-WORLD EX-US SMALL-CAP INDEXFUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	103732	0.0707
68	VANGUARD INVESTMENT SERIES PLC - VANGUARD ESG EMERGING MARKETS ALL CAP EQUITY INDEX FUND	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI	492	0.0003
69	SEI TRUST COMPANY AS TRUSTEE ON BEHALF OF SEGALL BRYANT AND HAMILL EMERGING MARKETS SMALL CAP TRUST	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI	6911	0.0047
70	SPDR S AND P EMERGING MARKETS SMALL CAP ETF	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	21185	0.0144
71	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON-LENDING COMMON TRUST FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	19323	0.0132
72	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	8680	0.0059
73	UPS GROUP TRUST	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	17661	0.012
74	DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	3075	0.0021
75	EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL FUNDS II PUBLIC LIMITED COMPANY	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	11989	0.0082
76	INTERNATIONAL MONETARY FUND - MANAGER A	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	7651	0.0052
77	ISHARES EMERGING MARKETS IMI EQUITY INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	5488	0.0037
78	J.P. MORGAN FUNDS	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	1153345	0.7866
79	MORGAN STANLEY ASIA (SINGAPORE) PTE.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	1107	0.0008
80	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	1832	0.0012
81	QIC INTERNATIONAL EQUITIES FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	2037	0.0014
82	T.A. WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	11769	0.008
83	UBS (US) GROUP TRUST	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	1860	0.0013
84	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST II	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	160806	0.1097
85	CALIFORNIA PUBLIC EMPLOYEES' RETIREMENT SYSTEM	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	91765	0.0626
86	CALIFORNIA STATE TEACHERS RETIREMENT SYSTEM - J.P. MORGAN INVESTMENTS MANAGEMENT, INC. 1	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	377669	0.2576
87	KUWAIT INVESTMENT AUTHORITY FUND 226	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	910562	0.621
88	MERCER QIF FUND PLC- MERCER INVESTMENT FUND 1	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	3977	0.0027
89	PREMIER INVESTMENT FUND LIMITED	C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERV, INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI	57222	0.039
90	SUNSUPER SUPERANNUATION FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	2625	0.0018
91	VANGUARD ESG INTERNATIONAL STOCK ETF	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	5924	0.004
92	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	391139	0.2668
93	WISDOMTREE INDIA INVESTMENT PORTFOLIO, INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	3815	0.0026

## Stakeholders Relationship Committee

### COMMITTEE MEETINGS

<b>Sr. No.</b>	<b>Date of the meeting</b>	<b>Total number of members as on the date of the meeting</b>	<b>Number of members attended</b>	<b>% of attendance</b>
1	28/01/2021	4	4	100

## Corporate Social Responsibility Committee

### COMMITTEE MEETINGS

<b>Sr. No.</b>	<b>Date of the meeting</b>	<b>Total number of members as on the date of the meeting</b>	<b>Number of members attended</b>	<b>% of attendance</b>
1	11/05/2020	3	3	100
2	30/10/2020	3	3	100

## Risk Management Committee

### COMMITTEE MEETINGS

<b>Sr. No.</b>	<b>Date of the meeting</b>	<b>Total number of members as on the date of the meeting</b>	<b>Number of members attended</b>	<b>% of attendance</b>
1	11/05/2020	4	4	100
2	10/09/2020	4	4	100

# U. HEGDE & ASSOCIATES

## COMPANY SECRETARIES

Draft

FORM NO. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

I, have examined the registers, records and books and papers of **MOTILAL OSWAL FINANCIAL SERVICES LIMITED** (“the Company”) (CIN-**L67190MH2005PLC153397**) as required to be maintained under the Companies Act, 2013 (‘the Act’) and the rules made thereunder for the financial year ended on March 31, 2021. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me, by the company, its officers and agents, I certify that:

- A. The annual return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the company has complied with provisions of the Act & Rules made there under in respect of :
  1. it’s status under the Act is a Listed Non-Government Public Limited Company limited by shares ;
  2. maintenance of registers/records & making entries therein within the time prescribed therefor;
  3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, ~~Regional Director, Central Government, the Tribunal, Court or other authorities~~ within/~~beyond~~ the prescribed time ;
  4. calling/convening/holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot if any, have been properly recorded in the Minutes Book/registers maintained for the purpose and the same have been signed ;
  5. Closure of Register of Members/ Security holders, as the case may be;
  6. Advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;

*During the financial year no such loans or advances were made by the Company to its directors and/or persons or firms or companies referred in section 185 of the Act.*

7. Contracts/arrangements with related parties as specified in Section 188 of the Act;

*All the contracts/arrangements with related parties as specified in section 188 of the Act were entered at arm's length and in ordinary course of business.*

8. Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;

*During the year, the Company has issued and allotted 462,800 equity shares under various Employees Stock Options Schemes in the electronic mode (demat). Further, the Company has also bought back 1,909,144 equity shares during the year. There were no instances of physical transfer & transmission of shares during the year 2020-21, further 674 no of equity shares were transferred to IEPF. The Company has issued and allotted 3,000 Non-Convertible Debentures at face value of Rs. 10,00,000/- each during the year.*

9. The Company has not kept in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act
10. Declaration/payment of dividend; transfer of unpaid/unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with the provisions of the Act;
11. The audited financial statements for the financial year ended March 31, 2021 was signed as per the provisions of section 134 of the Act and report of directors for the year ended March 31, 2021 was as per sub - sections (3), (4) and (5) thereof ;
12. The constitution/appointment /re-appointments /retirement /~~filling up casual vacancies~~/disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them as reflected in the annual Report was in accordance with provisions of the Act;
13. The appointment/~~reappointment/filling up casual vacancies~~ of auditors, during the financial year was as per the provisions of section 139 of the Act.

*The Company has appointed M/s. Walker Chandiok & Co. LLP, Chartered Accountants, Statutory Auditors, under section 139(2) of the Companies Act, 2013 at the 12<sup>th</sup> Annual General Meeting held on July 27, 2017 for a term of five years i.e. from the conclusion of Twelfth AGM till the conclusion of the Seventeenth AGM*

*U. HEGDE & ASSOCIATES*  
COMPANY SECRETARIES

*subject to ratification of appointment by Members in every subsequent AGM of the Company*

*However, the said requirement of ratification of auditors in subsequent AGM has been dispensed under the provisions of the Companies Amendment Act, 2017.*

14. During the financial year, no approvals were required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act ; ,
15. During the financial year, the Company did not accept any deposit nor it has renewed any deposits and further there was no requirement to repay deposits.
16. Borrowings from its directors, members, public financial institutions, banks and others and creation/modification/satisfaction of charges in that respect, wherever applicable;

*During the year, the Company did not borrow from its directors or members. However, the Company has borrowed from banks and other financial institution(s) and further the Company has created/modified charges in that respect, wherever applicable;*

17. Loans and Investments or guarantees given or providing of securities to other bodies corporate or persons failing under the provisions of Section 186 of the Act:

*During the financial year, the Company has complied with the provision of Section 186 of the Act with respect to loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act.*

18. There were no alterations to the Memorandum & Articles of Association of the Company during the financial year:

For U. HEGDE & ASSOCIATES  
COMPANY SECRETARIES

(UMASHANKAR K. HEGDE)  
PROPRIETOR  
M.NO- A22133 # COP- 11161

ICSI UDIN: